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# **State Regulation of Rikitake Two-Disk Dynamo Chaotic System via Adaptive Control Method**

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**Abstract:** Chaos theory has a manifold variety of applications in science and engineering. The frequent and irregular reversals of the earth's magnetic field has motivated a number of research studies involving electrical currents within the earth's molten core. One of the first such nonlinear models that exhibited the frequent and irregular reversals of the earth's magnetic field was Rikitake's two-disk dynamo system (1958). Rikitake two-disk dynamo system is a chaotic system that predated the pioneering work of Lorenz (1963). In this paper, we describe the dynamic equations and qualitative properties of the Rikitake two-disk dynamo chaotic system. We also derive new results for the state regulation of the Rikitake two-disk dynamo chaotic system. MATLAB plots have been depicted to illustrate the phase portraits of the Rikitake two-disk dynamo chaotic system with unknown system parameters via adaptive control method.

**Keywords:** Chaos, chaotic systems, state regulation, chaos control, earth's magnetic field, electrical currents, Rikitake dynamo system, two-disk model, nonlinear model, adaptive control, stability.

#### 1. Introduction

A dynamical system is called *chaotic* if it satisfies the three properties: boundedness, infinite recurrence and sensitive dependence on initial conditions [1-2]. Chaos theory investigates the qualitative and numerical study of unstable aperiodic behaviour in deterministic nonlinear dynamical systems.

In 1963, Lorenz [3] discovered a 3-D chaotic system when he was studying a 3-D weather model for atmospheric convection. After a decade, Rössler [4] discovered a 3-D chaotic system, which was constructed during the study of a chemical reaction. These classical chaotic systems paved the way to the discovery of many 3-D chaotic systems such as Arneodo system [5], Sprott systems [6], Chen system [7], Lü-Chen system [8], Cai system [9], Tigan system [10], etc. Many new chaotic systems have been also discovered in the recent years like Sundarapandian systems [11, 12], Vaidyanathan systems [13-43], Pehlivan system [44], Pham system [45], etc.

Recently, there is significant result in the chaos literature in the control, regulation and synchronization of physical and chemical systems. A pair of systems called master and slave systems are considered for the synchronization process and the design goal is to device a feedback mechanism so that the trajectories of the slave system asymptotically track the trajectories of the master system. In the control and regulation of chaotic systems, state feedback control laws are devised so as to regulate the state trajectories of the system to track the reference input signals.

In control theory, active control method is used when the parameters are available for measurement [46-65]. Adaptive control is a popular control technique used for stabilizing systems when the system parameters

are unknown [66-80]. There are also other popular methods available for control and synchronization of systems such as backstepping control method [81-87], sliding mode control method [88-100], etc.

Recently, chaos theory is found to have important applications in several areas such as chemistry [101-114], biology [115-138], memristors [129-141], electrical circuits [142], etc.

The frequent and irregular reversals of the earth's magnetic field has motivated a number of research studies involving electrical currents within the earth's molten core. One of the first such nonlinear models that exhibited the frequent and irregular reversals of the earth's magnetic field was Rikitake two-disk dynamo system [143]. Rikitake two-disk dynamo system (1958) is a classical chaotic system that predated the pioneering work of Lorenz (1963).

First, this research paper details the dynamic equations of the Rikitake two-disk dynamo system [143] and discusses its qualitative properties.

This paper also derives new results for the state regulation of the Rikitake two-disk dynamo system with unknown parameters via adaptive control method. MATLAB plots are shown to depict the phase portraits and state regulation of the Rikitake two-disk dynamo system.

#### 2. Rikitake Two-Disk Dynamo Chaotic System

Rikitake two-disk dynamo chaotic system [143] is governed by the system model

$$\begin{cases} \dot{x}_1 = -ax_1 + x_2x_3 \\ \dot{x}_2 = -ax_2 + x_1(x_3 - b) \\ \dot{x}_3 = 1 - x_1x_2 \end{cases}$$
 (1)

where  $x_1, x_2, x_3$  are the states and a, b are constant positive parameters. The parameter a represents the resistive dissipation and the parameter b represents the difference in the angular velocities of the two disks.

We note that the Rikitake two-disk dynamo chaotic system (1) has the same number of terms as the Lorenz chaotic system, but with one additional nonlinearity.

The Rikitake two-disk dynamo system (1) is *chaotic* when the system parameters are chosen as

$$a = 1, b = 1$$
 (2)

For numerical simulations, we take the initial conditions

$$x_1(0) = 1.0, x_2(0) = 0, x_3(0) = 0.8$$
 (3)

Figure 1 shows the 3-D phase portrait of the Rikitake two-disk dynamo system (1). Figures 2-4 show the 2-D projections of the Rikitake two-disk dynamo system on the  $(x_1, x_2)$ ,  $(x_2, x_3)$  and  $(x_1, x_3)$  planes, respectively.

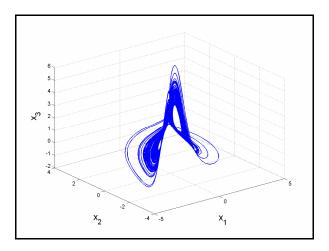


Figure 1. The 3-D phase portrait of the Rikitake two-disk dynamo system

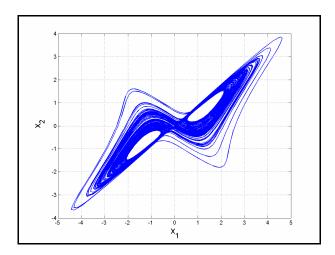


Figure 2. The 2-D projection of the Rikitake two-disk dynamo system on the  $(x_1, x_2)$  plane

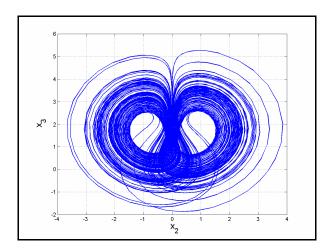


Figure 3. The 2-D projection of the Rikitake two-disk dynamo system on the  $(x_2, x_3)$  plane

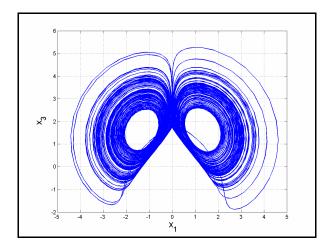


Figure 4. The 2-D projection of the Rikitake two-disk dynamo system on the  $(x_1, x_3)$  plane

The Lyapunov exponents of the Rikitake two-disk dynamo system (1) are numerically found as

$$L_1 = \Theta. \pm 2749, L_2 = 0, L_3 = -2.12704$$
 (4)

From the LE spectrum (4), it is immediate that the Rikitake two-disk dynamo system (1) is a chaotic system and the Maximal Lyapunov Exponent (MLE) of the Rikitake dynamo system (1) is  $L_1 = 0.12749$ .

Since the sum of the Lyapunov exponents in (4) is negative, it follows that the Rikitake two-disk dynamo system (1) is dissipative.

Also, the Lyapunov dimension of the Rikitake two-disk dynamo system (1) is derived as

$$D_L = 2 + \frac{L_1 + L_2}{|L_3|} = 2.0599 \tag{5}$$

### 3. State Regulation of the Rikitake Two-Disk Dynamo Chaotic System via Adaptive Control

In this section, we use adaptive control method to achieve global state regulation of the Rikitake twodisk dynamo chaotic system with unknown parameters. We use Lyapunov stability theory [131] to prove the main adaptive control result derived in this section using estimates of the unknown system parameters.

Thus, we consider the Rikitake two-disk dynamo system with controls given by

$$\begin{cases} \dot{x}_1 = -ax_1 + x_2x_3 + u_1 \\ \dot{x}_2 = -ax_2 + x_1(x_3 - b) + u_2 \\ \dot{x}_3 = 1 - x_1x_2 + u_3 \end{cases}$$
(6)

In (6),  $x_1, x_2, x_3$  are the states of the Rikitake two-disk dynamo system, a, b are unknown parameters and  $u_1, u_2, u_3$  are the feedback controls.

We suppose that the design problem is to regulate the states  $x_1, x_2, x_3$  to follow the constant reference inputs  $\alpha, \beta, \gamma$ , respectively.

Then we define the regulation errors as

$$\begin{cases} e_{1}(t) = x_{1}(t) - \alpha \\ e_{2}(t) = x_{2}(t) - \beta \\ e_{3}(t) = x_{3}(t) - \gamma \end{cases}$$
(7)

Then the error dynamics is obtained as

$$\begin{cases} \dot{e}_{1} = -a(e_{1} + \alpha) + (e_{2} + \beta)(e_{3} + \gamma) + u_{1} \\ \dot{e}_{2} = -a(e_{2} + \beta) + (e_{1} + \alpha)(e_{3} + \gamma - b) + u_{2} \\ \dot{e}_{3} = 1 - (e_{1} + \alpha)(e_{2} + \beta) + u_{3} \end{cases}$$
(8)

In view of (7) and (8), we consider the adaptive controller defined by

$$\begin{cases} u_1 = \hat{a}(t)x_1 - x_2x_3 - k_1e_1 \\ u_2 = \hat{a}(t)x_2 - x_1(x_3 - \hat{b}(t)) - k_2e_2 \\ u_3 = -1 + x_1x_2 - k_3e_3 \end{cases}$$
(9)

where  $k_1, k_2, k_3$  are positive gain constants.

Substituting (9) into (8), we get the closed-loop error dynamics as

$$\begin{cases} \dot{e}_{1} = -[a - \hat{a}(t)]x_{1} - k_{1}e_{1} \\ \dot{e}_{2} = -[a - \hat{a}(t)]x_{2} - x_{1}[b - \hat{b}(t)] - k_{2}e_{2} \\ \dot{e}_{3} = -k_{3}e_{3} \end{cases}$$
(10)

We define the parameter estimation errors as

$$\begin{cases} e_a(t) = a - \hat{a}(t) \\ e_b(t) = b - \hat{b}(t) \end{cases}$$
(11)

Using (11), the closed-loop system (10) can be simplified as

$$\begin{cases} \dot{e}_1 = -e_a x_1 - k_1 e_1 \\ \dot{e}_2 = -e_a x_2 - e_b x_1 - k_2 e_2 \\ \dot{e}_3 = -k_3 e_3 \end{cases}$$
 (12)

Differentiating (11) with respect to time, we get

$$\begin{cases} \dot{e}_a(t) = -\dot{\hat{a}}(t) \\ \dot{e}_b(t) = -\dot{\hat{b}}(t) \end{cases}$$
(13)

Next, we consider the candidate Lyapunov function defined by

$$V(e_1, e_2, e_3, e_a, e_b) = \frac{1}{2} \left( e_1^2 + e_2^2 + e_3^2 + e_a^2 + e_b^2 \right)$$
(14)

Differentiating (14) along the trajectories of (12) and (13), we get the following dynamics

$$\dot{V} = -k_1 e_1^2 - k_2 e_2^2 - k_3 e_3^2 + e_a \left[ -e_1 x_1 - e_2 x_2 - \dot{\hat{a}} \right] + e_b \left[ -x_1 e_2 - \dot{\hat{b}} \right]$$
(15)

In view of (15), we take the following parameter update law:

$$\begin{cases} \dot{\hat{a}}(t) = -e_1 x_2 - e_2 x_2 \\ \dot{\hat{b}}(t) = -x_1 e_2 \end{cases}$$
 (16)

Next, we state and prove the main result of this section.

**Theorem 1.** The adaptive control law (9) and the parameter update law (16) achieve global and exponential state regulation of the 3-D Rikitake two-disk dynamo chaotic system (6), where  $k_1, k_2, k_3$  are positive gain constants.

**Proof.** The result is proved using Lyapunov stability theory [144].

The quadratic Lyapunov function V defined by (14) is positive definite on  $R^5$ .

Substituting the parameter update law (16) into (15), we get the time derivative of V as

$$\dot{V} = -k_1 e_1^2 - k_2 e_2^2 - k_3 e_3^2, \tag{17}$$

which is negative semi-definite on  $R^5$ .

Thus, by Barbalat's lemma in Lyapunov stability theory [144], it follows that the closed-loop error dynamics (12) is globally exponentially stable.

Hence, it is immediate that the state trajectories  $x_1(t)$ ,  $x_2(t)$ ,  $x_3(t)$  of the 3-D Rikitake two-disk dynamo chaotic system (6) track the constant reference signals  $\alpha$ ,  $\beta$ ,  $\gamma$ , exponentially, as  $t \to \infty$ .

This completes the proof.

#### 4. Numerical Simulations

We use the classical fourth-order Runge-Kutta method with step-size  $h = 10^{-8}$  to solve the system of differential equations (6), when the adaptive control law (9) and parameter update law (16) are implemented.

We take the parameter values of the Rikitake two-disk dynamo chaotic systems as in the chaotic case, viz.

$$a = 1, b = 1$$
 (18)

We take the gain constants as

$$k_1 = 6, \quad k_2 = 6, \quad k_3 = 6$$
 (19)

We take the initial values of the Rikitake dynamo system (6) as

$$x_1(0) = 6.2, \ x_2(0) = 3.1, \ x_3(0) = 7.8$$
 (20)

We take the constant reference signals as

$$\alpha = 1, \quad \beta = 2, \quad \gamma = 3 \tag{21}$$

We take the initial values of the parameter estimates as

$$\hat{a}(0) = 5.4, \ \hat{b}(0) = 4.9$$
 (22)

Figures 5-7 show the state regulation of the Rikitake dynamo chaotic system (6).

Figure 8 shows the time-history of the regulation errors  $e_1, e_2, e_3$ .

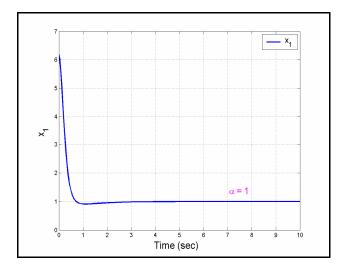


Figure 5. Output regulation of the state  $x_1(t)$ 

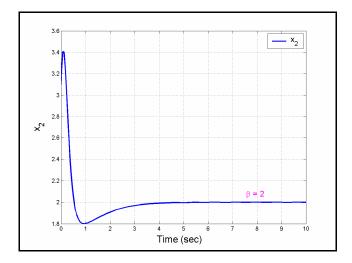


Figure 6. Output regulation of the state  $x_2(t)$ 

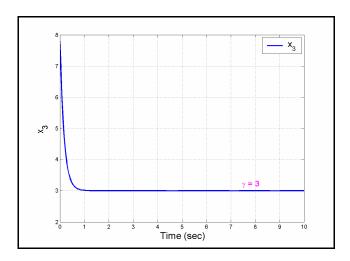


Figure 7. Output regulation of the state  $x_3(t)$ 

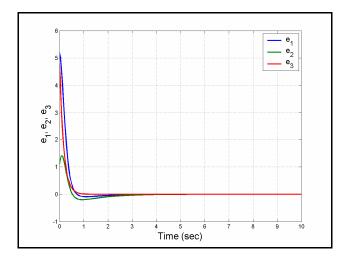


Figure 8. Time-history of the regulation errors  $e_1(t)$ ,  $e_2(t)$ ,  $e_3(t)$ 

#### 5. Conclusions

In this paper, we described the dynamic equations and qualitative properties of the Rikitae two-disk dynamo chaotic system. We also derived new results for the state regulation of the Rikitake two-disk dynamo chaotic system with unknown system parameters so as to track constant reference signals via adaptive control method. MATLAB plots were depicted to illustrate the phase portraits of the Rikitake two-disk dynamo chaotic attractor and the state regulation of the Rikitake two-disk dynamo chaotic system via adaptive control method.

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