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## Adaptive Control of Rikitake Two-Disk Dynamo System

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**Abstract:** Chaos theory has a manifold variety of applications in science and engineering. The frequent and irregular reversals of the earth's magnetic field has motivated a number of research studies involving electrical currents within the earth's molten core. One of the first such nonlinear models that exhibited the frequent and irregular reversals of the earth's magnetic field was Rikitake's two-disk dynamo system (1958). Rikitake two-disk dynamo system is a chaotic system that predated the pioneering work of Lorenz (1963). In this paper, we describe the dynamic equations and qualitative properties of the Rikitake two-disk dynamo chaotic system. We also derive new results for the adaptive control of the Rikitake two-disk dynamo chaotic system. MATLAB plots have been depicted to illustrate the phase portraits of the Rikitake two-disk dynamo chaotic systems via adaptive control method.

**Keywords:** Chaos, chaotic systems, chaos control, earth's magnetic field, electrical currents, Rikitake dynamo system, two-disk model, nonlinear model, adaptive control, stability.

#### 1. Introduction

A dynamical system is called *chaotic* if it satisfies the three properties: boundedness, infinite recurrence and sensitive dependence on initial conditions [1-2]. Chaos theory investigates the qualitative and numerical study of unstable aperiodic behaviour in deterministic nonlinear dynamical systems.

In 1963, Lorenz [3] discovered a 3-D chaotic system when he was studying a 3-D weather model for atmospheric convection. After a decade, Rössler [4] discovered a 3-D chaotic system, which was constructed during the study of a chemical reaction. These classical chaotic systems paved the way to the discovery of many 3-D chaotic systems such as Arneodo system [5], Sprott systems [6], Chen system [7], Lü-Chen system [8], Cai system [9], Tigan system [10], etc. Many new chaotic systems have been also discovered in the recent years like Sundarapandian systems [11, 12], Vaidyanathan systems [13-43], Pehlivan system [44], Pham system [45], etc.

Recently, there is significant result in the chaos literature in the synchronization of physical and chemical systems. A pair of systems called master and slave systems are considered for the synchronization process and the design goal is to device a feedback mechanism so that the trajectories of the slave system asymptotically track the trajectories of the master system. Because of the butterfly effect which causes exponential divergence of two trajectories of the system starting from nearby initial conditions, the synchronization of chaotic systems is seemingly a challenging research problem.

In control theory, active control method is used when the parameters are available for measurement [46-65]. Adaptive control is a popular control technique used for stabilizing systems when the system parameters are unknown [66-79]. There are also other popular methods available for control and synchronization of systems such as backstepping control method [80-86], sliding mode control method [87-98], etc.

Recently, chaos theory is found to have important applications in several areas such as chemistry [99-107], biology [108-125], memristors [126-128], electrical circuits [129], etc.

The frequent and irregular reversals of the earth's magnetic field has motivated a number of research studies involving electrical currents within the earth's molten core. One of the first such nonlinear models that exhibited the frequent and irregular reversals of the earth's magnetic field was Rikitake two-disk dynamo system [130]. Rikitake two-disk dynamo system (1958) is a classical chaotic system that predated the pioneering work of Lorenz (1963).

First, this research paper details the dynamic equations of the Rikitake two-disk dynamo system [130] and discusses its qualitative properties.

This paper also derives new results for the global chaos control of the Rikitake two-disk dynamo system via adaptive control method. MATLAB plots are shown to depict the phase portraits and global chaos control of the Rikitake two-disk dynamo system.

#### 2. Rikitake Two-Disk Dynamo Chaotic System

Rikitake two-disk dynamo chaotic system [130] is governed by the system model

$$\begin{cases} \dot{x}_1 = -ax_1 + x_2 x_3 \\ \dot{x}_2 = -ax_2 + x_1 (x_3 - b) \\ \dot{x}_3 = 1 - x_1 x_2 \end{cases}$$
(1)

where  $x_1, x_2, x_3$  are the states and *a*, *b* are constant positive parameters. The parameter *a* represents the resistive dissipation and the parameter *b* represents the difference in the angular velocities of the two disks.

We note that the Rikitake two-disk dynamo chaotic system (1) has the same number of terms as the Lorenz chaotic system, but with one additional nonlinearity.

The Rikitake two-disk dynamo system (1) is *chaotic* when the system parameters are chosen as a = 1, b = 1 (2) For numerical simulations, we take the initial conditions

 $x_1(0) = 1.0, x_2(0) = 0, x_3(0) = 0.8$ 

Figure 1 shows the 3-D phase portrait of the Rikitake two-disk dynamo system (1). Figures 2-4 show the 2-D projections of the Rikitake two-disk dynamo system on the  $(x_1, x_2), (x_2, x_3)$  and  $(x_1, x_3)$  planes, respectively.

(3)

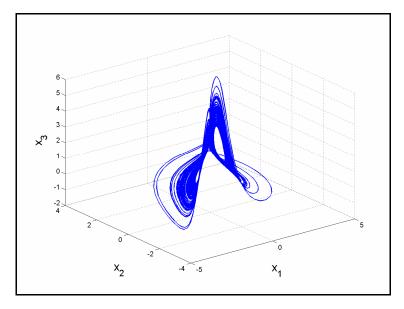


Figure 1. The 3-D phase portrait of the Rikitake two-disk dynamo system

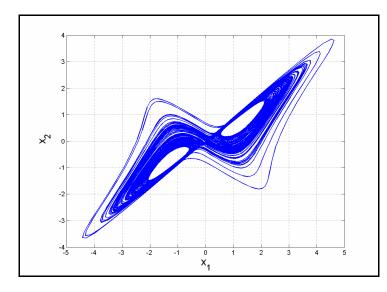


Figure 2. The 2-D projection of the Rikitake two-disk dynamo system on the  $(x_1, x_2)$  plane

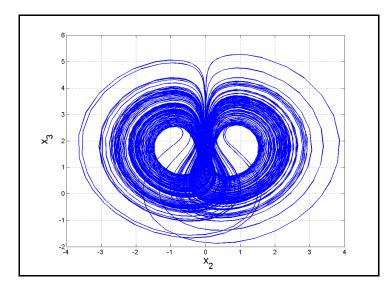


Figure 3. The 2-D projection of the Rikitake two-disk dynamo system on the  $(x_2, x_3)$  plane

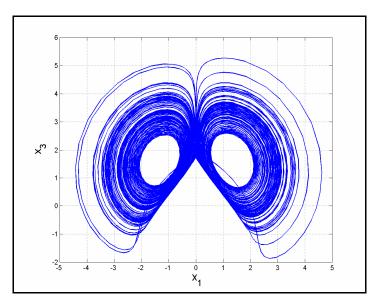


Figure 4. The 2-D projection of the Rikitake two-disk dynamo system on the  $(x_1, x_3)$  plane

The Lyapunov exponents of the Rikitake two-disk dynamo system (1) are numerically found as

$$L_1 = \Theta.\pm 2749, \ L_2 = 0, \ L_3 = -2.12704$$

From the LE spectrum (4), it is immediate that the Rikitake two-disk dynamo system (1) is a chaotic system and the Maximal Lyapunov Exponent (MLE) of the Rikitake dynamo system (1) is  $L_1 = 0.12749$ .

Since the sum of the Lyapunov exponents in (4) is negative, it follows that the Rikitake two-disk dynamo system (1) is dissipative.

Also, the Lyapunov dimension of the Rikitake two-disk dynamo system (1) is derived as

$$D_L = 2 + \frac{L_1 + L_2}{|L_3|} = 2.0599 \tag{5}$$

## 3. Global Chaos Control of the Rikitake Two-Disk Dynamo Chaotic System via Adaptive Control Method

In this section, we use adaptive control method to achieve global chaos control of the Rikitake two-disk dynamo chaotic system with unknown parameters. We use Lyapunov stability theory [131] to prove the main adaptive control result derived in this section.

Thus, we consider the controlled Rikitake two-disk dynamic given by

$$\begin{cases} x_1 = -ax_1 + x_2x_3 + u_1 \\ \dot{x}_2 = -ax_2 + x_1(x_3 - b) + u_2 \\ \dot{x}_3 = 1 - x_1x_2 + u_3 \end{cases}$$
(6)

In ((6),  $x_1, x_2, x_3$  are the states of the Rikitake two-disk dynamo system and a, b are unknown parameters.

The design of the control goal is to find nonlinear feedback controls  $u_1, u_2, u_3$  using estimates of the unknown system parameters so as to regulate the states  $x_1, x_2, x_3$  of the Rikitake two-disk dynamo chaotic system (6) to constant values or set-point controls given by  $\alpha_1, \alpha_2, \alpha_3$ , respectively.

Thus, the output regulation errors are defined by

$$\begin{cases} e_1(t) = x_1(t) - \alpha_1 \\ e_2(t) = x_2(t) - \alpha_2 \\ e_3(t) = x_3(t) - \alpha_3 \end{cases}$$
(7)

(4)

The error dynamics is obtained as

$$\begin{cases} \dot{e}_1 = -a(e_1 + \alpha_1) + (e_2 + \alpha_2)(e_3 + \alpha_3) + u_1 \\ \dot{e}_2 = -a(e_2 + \alpha_2) + (e_1 + \alpha_1)(e_3 + \alpha_3 - b) + u_2 \\ \dot{e}_3 = 1 - (e_1 + \alpha_1)(e_2 + \alpha_2) + u_3 \end{cases}$$
(8)

We consider the adaptive controller defined by

$$\begin{cases} u_1 = \hat{a}(t)(e_1 + \alpha_1) - (e_2 + \alpha_2)(e_3 + \alpha_3) - k_1 e_1 \\ u_2 = \hat{a}(t)(e_2 + \alpha_2) - (e_1 + \alpha_1)(e_3 + \alpha_3 - \hat{b}(t)) - k_2 e_2 \\ u_3 = -1 + (e_1 + \alpha_1)(e_2 + \alpha_2) - k_3 e_3 \end{cases}$$
(9)

where  $k_1, k_2, k_3$  are positive gain constants.

Substituting (9) into (8), we get the closed-loop error dynamics as

$$\begin{cases}
\dot{e}_1 = -[a - \hat{a}(t)](e_1 + \alpha_1) - k_1 e_1 \\
\dot{e}_2 = -[a - \hat{a}(t)](e_2 + \alpha_2) - [b - \hat{b}(t)](e_1 + \alpha_1) - k_2 e_2 \\
\dot{e}_3 = -k_3 e_3
\end{cases}$$
(10)

We define the parameter estimation errors as

$$\begin{cases} e_a(t) = a - \hat{a}(t) \\ e_b(t) = b - \hat{b}(t) \end{cases}$$
(11)

Using (11), the closed-loop system (10) can be simplified as

$$\begin{cases} \dot{e}_{1} = -e_{a}(e_{1} + \alpha_{1}) - k_{1}e_{1} \\ \dot{e}_{2} = -e_{a}(e_{2} + \alpha_{2}) - e_{b}(e_{1} + \alpha_{1}) - k_{2}e_{2} \\ \dot{e}_{3} = -k_{3}e_{3} \end{cases}$$
(12)

Differentiating (11) with respect to time, we get

$$\begin{cases} \dot{e}_a(t) = -\dot{\hat{a}}(t) \\ \dot{e}_b(t) = -\dot{\hat{b}}(t) \end{cases}$$
(13)

Next, we consider the candidate Lyapunov function defined by

$$V(e_1, e_2, e_3, e_a, e_b) = \frac{1}{2} \left( e_1^2 + e_2^2 + e_3^2 + e_a^2 + e_b^2 \right)$$
(14)

Differentiating (14) along the trajectories of (12) and (13), we get the following dynamics

$$\dot{V} = -k_1 e_1^2 - k_2 e_2^2 - k_3 e_3^2 + e_a \left[ -e_1 \left( e_1 + \alpha_1 \right) - e_2 \left( e_2 + \alpha_2 \right) - \dot{\hat{a}} \right] + e_b \left[ -e_2 \left( e_1 + \alpha_1 \right) - \dot{\hat{b}} \right]$$
(15)

In view of (15), we take the following parameter update law:

$$\begin{cases} \hat{a}(t) = -e_1(e_1 + \alpha_1) - e_2(e_2 + \alpha_2) \\ \dot{b}(t) = -e_2(e_1 + \alpha_1) \end{cases}$$
(16)

Next, we state and prove the main result of this section.

**Theorem 1.** The adaptive control law (9) and the parameter update law (16) achieve global chaos control of the Rikitake two-disk dynamo system (6) by regulating the states  $x_1(t), x_2(t), x_3(t)$  so as to track the set-point controls  $\alpha_1, \alpha_2, \alpha_3$ , respectively, where  $k_1, k_2, k_3$  are positive gain constants.

**Proof.** The result is proved using Lyapunov stability theory [131].

The quadratic Lyapunov function V defined by (14) is positive definite on  $R^5$ .

Substituting the parameter update law (16) into (15), we get the time derivative of V as

$$\dot{V} = -k_1 e_1^2 - k_2 e_2^2 - k_3 e_3^2, \tag{17}$$

which is negative semi-definite on  $R^{5}$ .

Thus, by Barbalat's lemma in Lyapunov stability theory [131], it follows that the closed-loop error dynamics (12) is globally exponentially stable.

Hence, we have shown that adaptive control law (9) and the parameter update law (16) achieve global chaos control of the Rikitake two-disk dynamo system (6) by regulating the states  $x_1(t), x_2(t), x_3(t)$  so as to track the set-point controls  $\alpha_1, \alpha_2, \alpha_3$ , respectively.

This completes the proof.

#### 4. Numerical Simulations

We use the classical fourth-order Runge-Kutta method with step-size  $h = 10^{-8}$  to solve the system of differential equations (6) and (7), when the adaptive control law (10) is implemented.

We take the parameter values of the Rikitake two-disk dynamo chaotic systems as in the chaotic case, viz.	
a = 1, b = 1	(18)
We take the gain constants as	
$k_1 = 6,  k_2 = 6,  k_3 = 6$	(19)
We take the initial values of the Rikitake dynamo system (6) as	
$x_1(0) = 7.5, x_2(0) = 17.2, x_3(0) = 23.4$	(20)
We take the set-point controls as	
$\alpha_1 = 1, \ \alpha_2 = 2, \ \alpha_3 = 3$	(21)
We take the initial values of the parameter estimates as	
$\hat{a}(0) = 3.4, \ \hat{b}(0) = 7.6$	(22)

Figures 5-7 show the output regulation of the Rikitake dynamo chaotic system (6).

Figure 8 shows the time-history of the regulation errors  $e_1, e_2, e_3$ .

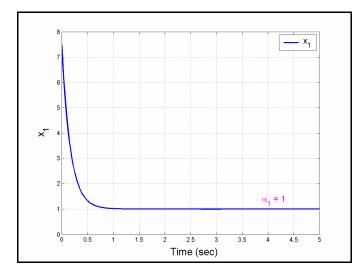


Figure 5. Output regulation of the state  $x_1(t)$ 

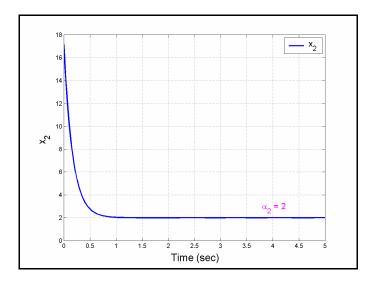


Figure 6. Output regulation of the state  $x_2(t)$ 

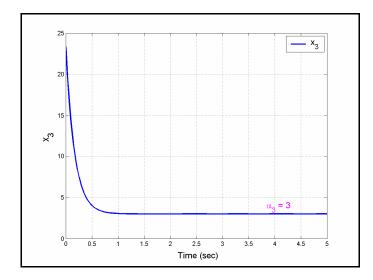
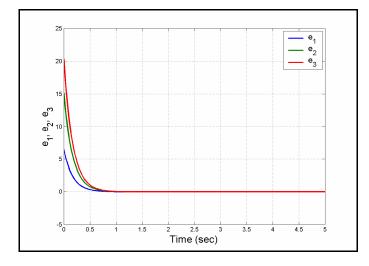


Figure 7. Output regulation of the state  $x_3(t)$ 



**Figure 8.** Time-history of the regulation errors  $e_1(t), e_2(t), e_3(t)$ 

#### 5. Conclusions

In this paper, we described the dynamic equations and qualitative properties of the Rikitae two-disk dynamo chaotic system. We also derived new results for the global chaos control of the Rikitake two-disk dynamo chaotic system. MATLAB plots were depicted to illustrate the phase portraits of the Rikitake two-disk dynamo chaotic attractor and the output regulation of the Rikitake two-disk dynamo chaotic system via adaptive control method.

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