



Nano Capsulated Polyphenol Extracted from Oyster Mushroom (*Pleurotus ostreatus*), Characterization and Stability Evaluation

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Abstract: The development of serious diseases i.e. Breast, colon and prostate cancers in human population at large is affected by many factors i.e. food habits, chemical substances and stress. Polyphenols as secondary metabolites in specific plants could be extracted and used as antioxidant, anti-atherogenic, anti-diabetic, anti-cancer, anti-viral, and anti-inflammatory properties. These compounds could donate electron to free radical through different mechanism and thus affect oxidation and microbial growth.

The extraction kinetics of polyphenol Oyster mushroom (*pleurotus ostreatus*) by Supercritical CO₂ Fluid extraction at (100 °C and 400 bar) was evaluated. Total phenol extracts were determined using Folin-Ciocalteu reagents. SFE was found to produce higher phenol recoveries than traditional methods. The production of natural nanopolyphenols by using ultrasonic (mechanical process) aside with encapsulation could be used in treatment of some serial diseases such as cancer, (increase its capacity to induce apoptosis in cancerous cell by modulating cell signaling cascades).

Key words: Nanotechnology; SFE; characterization; stability; cell-line.

Introduction

The relationship between diet and health has a great effect to improve human consumer health. Therefore, efforts have been done to define some foods that have additional benefits to human health beyond the true one as functional foods.

The extraction of these functional foods and their use as antioxidant antiviral, antihypertensive contribute consumer's well-being. Supercritical fluid extraction, subcritical water extraction and pressurized liquids are the most used methods for extraction.

Functional foods nowadays have get a great interest as they provide many benefits in additional to nutritional and energetic i.e. physiological, antihypertensive, antioxidant or anti-inflammatory¹.

Antioxidant are the most important compounds with functional properties^{2,3,4}, they can play important role against lipid peroxidation, their losses during production and storage and thus increasing the interest of these products and their demand by consumers^{5,6}.

Vegetal and plant kingdom have become the main source of antioxidant compounds. Among them the main families are phenolic compounds, carotenoids and tocopherols.

Polyphenolic compounds can be used as chemoperventive agents to inhibit cancer development and propagation⁷.

Cancer nanotechnology products with their particular size and characteristics can onfiltrate tumors deeply with a high level of specificity^{8,9}. The nanoencapsulation is an important issue to enhance bioavailability of polyphenols^{10,12}.

In this study, polyphenols supercritical fluid extraction was used as new technique to extract polyphenol compounds providing higher selectivity's, shorter extraction times and do not use toxic organic solvents comparing with traditional methods with low selectivity, low extraction yield, time consuming and laborious^{13, 14}.

Several reviews have been written about compressed fluid extraction of plants, microalgae and other stuff focused in the obtention and characterization of functional food^{3, 6, 14}.

The objective of this study was the extraction and characterization of new natural ingredients (phenolic nano particles) which can be used in functional foods and nutraceutical products through microencapsulation based delivery systems a side with their biological activity using new extraction method as well as their and characteristics.

Materials & Methods

Sample preparation

Oyster mushroom i.e *Pleurotus ostreatus* (Mycelia & Whole) obtained from the special unit of "Production, Cultivation & Utilization of Mushroom" at NRC was used.

Oyster mushroom (Mycelia & Whole) was treated with 1 % sodium metabisulfite for 3 minutes, sliced and homogenized to small particle size in a Sonicator (Ultrasonic processor) XL No. 2015-010 in dark place. The homogenized samples were placed in petri dishes and stored in a refrigerator at -80°C for 2 days until freeze. Then all mushroom samples were freeze-dried (LABCONCO, Kansas City, USA) at -50°C & 0.014 mbar for 2 days to reach moisture content of 4 %. Mushroom powder was ground and stored at -80°C in brown glass bottle to prevent oxidative damage until extraction

Supercritical Extraction

Phenolic compounds were extracted from oyster mushroom (20 gm) at (100°C) and (400 bar) pressure as described by Mendiola¹⁵, until no significant amount of extracted phenolic could be collected. The extracted sample was stored in brown glass bottle in a refrigerator at -80°C until used for physical & chemical properties evaluation.

Physical & Chemical Properties

Physical Properties

Transmission Electron Microscopy

The morphology of the extracted phenolic compounds was examined by transmission electron microscopy (TEM) using JED 1230, JEOL Ltd., Tokyo, Japan).

FT-IR analysis

Extracted phenolic compounds were subjected to FT/IR - 600 Fourier Transform Infrared Spectroscopy (Jasco) and the structure of polysaccharides were measured¹⁶.

HPLC

HPLC of phenolic compounds was performed in Mycotoxin Central Lab, NRC using a chromatograph. Supelcosil™ LC-18 column (15 cm, 2 μm) was used to separate individual lycopene at ambient temperature.

Samples (5 μl) dissolved in methanol / dichloromethane (1:1 v/v) were injected into the HPLC system for phenolic compounds analysis. Methanol with 10 % (v/v) acetonitrile was used as mobile phase.

The mobile phase was delivered at a flow rate of 1ml / min under isocratic conditions. The separated ergothioneine, catechin, p-coumaric acid and quecetin, peaks were monitored by a UV detector at 200-400 nm. Standards were used for identification and quantification based on a calibration curve¹⁷.

Chemical Properties:**Antioxidant activity measured by DPPH:**

The hydron atoms or electrons donation ability of the corresponding extracts were measured from the bleaching of purple colored method solution of 1,1-Diphenyl-2-picrylhydrozyl (DPPH). The effect of mushroom methanolic extracts on DPPH radical was measured according to Hatano¹⁸ as follow:

One ml of various concentrations (0.2-1.0 mg ml⁻¹) of the mushroom extracts in methanol and water was added to a 1 ml of DPPH radical solution in methanol (final concentration of DPPH was 0.2mM).

The mixture was shaken vigorously and allowed to stand for 30 min. The absorbance of the resulting solution was measured at 517 nm using a spectrophotometer (Shimadzu UV-1601, Kyoto, Japan).

Inhibition of the free radical DPPH in percent (1%) was calculated using Eq.1:

$$1\% = 100 \times (A \text{ control} - A \text{ sample}) / A \text{ control} \dots\dots\dots(1)$$

Where, A control is the absorbance of the control reaction (containing all reagent except the test compound), and A sample is the absorbance of the test compound. Butylated hydroxytoluene (BHT) was used as a control (All chemicals are from Sigma-Aldrich GmbH, Germany).

Total Antioxidant determined by conjugated diene methods

Antioxidant capacity is determined by measuring the inhibition of the volatile organic compounds and the conjugated diene hydroperoxide arising from linoleic acid¹⁹.

Five mg of phenolic compounds - linoleic acid mixture were dissolved in 1 ml of chloroform (HPLC grade). Then, 25µl linoleic acid and 200 mg Tween 40 were added. Chloroform was completely evaporated using a vacuum evaporator. Then 100 ml of oxygenated distilled water was added with vigorously shaking. The reaction mixture (2.5 ml) was transferred to test tubes and 0.5 ml of various concentrations (0.4-2.0 mg ml⁻¹) of the extracts in methanol and water were added and the emulsion system was incubated for up to 2 h at 50 °C. The same procedure was repeated with the positive control BHT.

After this incubation period, absorbance was continued until color of phenolic compounds disappeared. The bleaching rate (R) of phenolic compounds were calculated according to Eq. 2:

$$R = \ln (a / b) / t \dots\dots\dots(2)$$

Where, ln = natural log, a = absorbance at time 0, b = absorbance at time t

(30, 60, 90 and 120 min)²⁰.

The antioxidant activity (AA) is calculated in terms of percent inhibition related to the control using Eq.3:

$$AA = [(R \text{ control} - R \text{ sample}) / R \text{ control}] \times 100 \dots\dots\dots(3)$$

Antioxidant activities of the extracts were compared with butylated hydroxytoluene (BHT) at 0.4 mg ml⁻¹ and blank consisting of only 0.5 ml methanol and water.

Reducing Power:

The reducing power of mushroom extracts was determined according to the method of Oyaizu²¹. Mushroom extracts (0.2 – 1.0 mg ml⁻¹) in methanol and water (2.5 ml) was mixed with 2.5 ml of 200 mM sodium phosphate buffer (pH 6.6) and 2.5 ml of 1 % potassium ferricyanide and incubated at 50 °C for 20 min. Then, 2.5 ml of 10 % Trichloroacetic acid were added, and the mixture was centrifuged at 200 g (MSE Mistral 2000, London, UK) for 10 min. The upper layer (2.5 ml) was mixed with 2.5 ml deionized water and 0.5 ml of 1 % ferric chloride. Finally the absorbance was measured at 700 nm against a blank. Butylated hydroxytoluene (BHT) was used as a control.

Total phenolic Compounds:

Total phenolic compounds of the Supercritical extracts were determined according to the method described by Oviasogie²², including Folin-Ciocalteu reagent and gallic acid as standard. 1ml of the extract solution containing 2000µg extract was added to a volumetric flask. 45ml distilled water and 1 ml Folin-Ciocalteu reagent was added, then the flask was shaken vigorously. After 3 min, 3 ml of Na₂CO₃ (2 %) solution was added and the mixture was measured at 760 nm. The concentration of phenolic compounds was calculated according to the following equation that was obtained from the standard gallic acid graph using Eq.4:

$$A = 0.0021 \text{ gallic acid } - (\mu\text{g}) - 0.0074 \text{ (R2: 0.9908) } \dots\dots\dots (4)$$

Microencapsulation

Phenolic compounds preparation of sodium alginate beads, sodium alginate was dissolved in distilled water to produce alginate solutions with concentration of 6 % w/v, (*dp* = 3 mm) was done by standard ionotropic gelation through a syringe as described by Kubic²³.

Transmission Electron Microscope

The morphology of microencapsulated phenolic compounds nanoparticle was measured as described previously.

Antioxidant activity measured by DPPH

The antioxidant activity of encapsulated phenolic compounds was measured as was described previously.

Cell-Line Assay

Human cell lines i.e. Human prostate cancer cells (DU145) and breast cancer cell line (MCF-7) were treated with prepared nano antioxidants i.e. lycopene, oleoresin, carotenoids and polyphenolic compounds (1-5 µM) for 48 and 96 h. Cell viability was monitored using the method of MTT [3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide]. The cell cycle was analyzed by flow cytometry, and apoptotic cells were identified by terminal deoxynucleotidyl transferase-mediated dUTP-biotin nick-end labeling (TUNEL) and by 4,6-diamidino-2-phenylindole dihydrochloride (DAPI)²⁴. All experiments were done in vitro bioassay human tumors cell line for drug discovery Lab. National Research Centre (NRC).

Results & Discussion**Supercritical Extraction of Phenolic Compounds:**

Results showed an increase in the yield of phenolic compounds extracted by supercritical after optimization all conditions. The yield reached to 4430 µg/gm which is much higher than that extracted by conventional methods 1700 µg/gm (about 3 times).

Physical & Chemical properties**Transmission Electron Microscopy**

The morphology of the phenolic compounds nanoparticles after freeze drying was obtained by using Transmission electron microscopy.

Figure (1) shows the TEM of phenolic compounds nanoparticles with diameter range from 61- 145 nm.

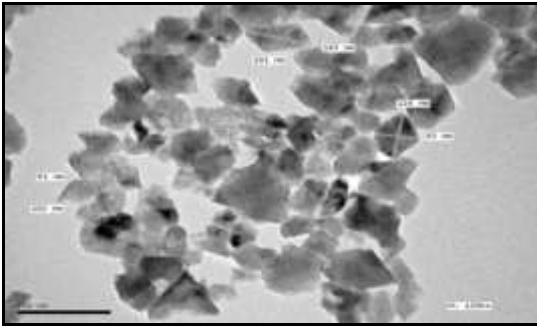


Figure (1): TEM of the phenolic compounds extracted by supercritical CO₂

FT-IR analysis

Figure (2) shows FTIR spectra of extracted phenolic compounds by supercritical fluid CO₂ with different absorption bands at wave numbers ranging from 4000-400 cm⁻¹. The (O-H stretching) appeared at 3425.92 cm⁻¹ band, and is only identified in the high pressure extracts.

Bands at 2924.52 cm⁻¹ and 2857.99 cm⁻¹ referred to C-H stretching of methylene and methyl.

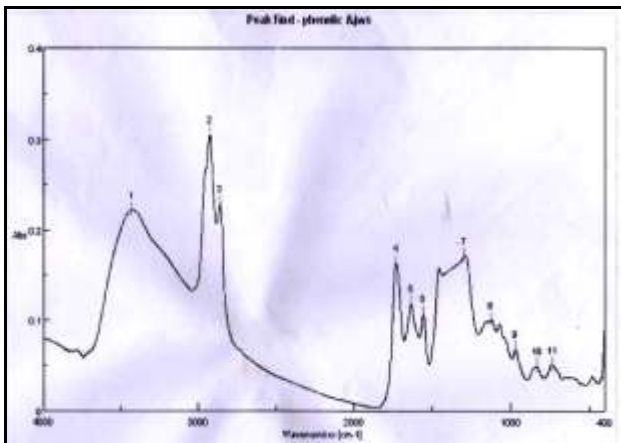


Fig. 2. FTIR analysis of extracted phenolic compounds by supercritical fluid CO₂

The individual bonds of functional groups (in fingerprint region) are shown at 1800-300 cm⁻¹ bonds. While the C=O stretching vibrations appeared as sharp bond at 1730.8 cm⁻¹ and is due to the presence of high amounts of carboxylic acid in the extract. A small peaks at 1633.41 cm⁻¹, 1555.31 cm⁻¹, 1295.93 cm⁻¹ and 1128.15 cm⁻¹ were assigned to stretching C=C, s C-O and C-O respectively. Some peaks were observed out of plane at 970.983, 833.098 and 483.08 cm⁻¹ referring to R-CH-CH-R, C-H and CH₂, respectively.

HPLC

Figure (3) show that the phenolic compounds of the nano phenolic capsules of all samples stored for 2,4 and 6 months had several derived phenolics. The major peak for samples corresponds to the 2-Methyl4,6-DiNitro Phenol in comparison with standard used (retention time of 9.540). The compounds identified in comparison with standards used are : phenol, 4-nitro-phenol, 2,4 di nitro phenol, chloro-phenol, 2-nitro phenol, 2-methyl 4,6- di nitro phenol 2,4-di methyl phenol, 4-chloro3-methyl-phenol, 2,4-di chloro phenol, 2,4,6-tri chloro-phenol and penta chloro phenol.



Fig.3. HPLC standard for phenolic compounds

Chemical Properties:

1-Antioxidant activity by DPPH:

Table (1) and Figure 4 showed the ability of polyphenolic compound extracted by supercritical from mushroom to decrease the absorbance of DPPH radical (discoloration from purple to yellow) which was due to the reaction of antioxidants present in mushroom extract and the radical.

This scavenging activity could exhibit IC₅₀ value at the extract concentration of 97 µg/ml dry mushroom extract.

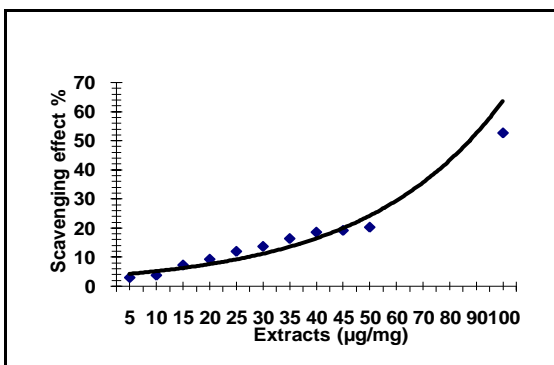


Fig.4. Scavenging effect % of various concentration extract (5 – 100 µg/ml) on DPPH

Table 1. Antioxidant activity as assessed by DPPH scavenging method:

| Sample extract | DPPH (µg/mg) | Scavenging effect % |
|----------------|--------------|---------------------|
| Ethyl Acetate | 5.0 | 2.960 |
| | 10.0 | 3.763 |
| | 15.0 | 7.236 |
| | 20.0 | 9.201 |
| | 25.0 | 11.945 |
| | 30.0 | 13.697 |
| | 35.5 | 16.370 |
| | 40.0 | 18.500 |
| | 45.0 | 19.167 |
| | 50.0 | 20.232 |
| | 100.0 | 52.607 |

$$\text{Scavenging effect \%} = \frac{A_{515\text{nm}} \text{ of sample} - A_{515\text{nm}} \text{ of blank}}{A_{515\text{nm}} \text{ of blank}} \times 100$$

Conjugated diene methods

Table 2 and Figure 5 showed that oyster mushroom polyphenol had excellent antioxidant activity profile at 2.0 mg/ml comparing to control synthetic BHT which showed strong activity against linoleic acid oxidation.

Table 2. Antioxidant activity of polyphenol from oyster mushroom

| Sample | Antioxidant activity % for Sample concentration (mg/ml) | | | | | | | | |
|--------------------------|---|-------|-------|-------|-------|-------|-------|-------|-------|
| | 0.4 | 0.6 | 0.8 | 1.0 | 1.2 | 1.4 | 1.6 | 1.8 | 2.0 |
| Methanol soluble extract | 63.78 | 67.48 | 72.00 | 78.66 | 79.32 | 80.16 | 81.08 | 81.78 | 82.05 |
| BHT | 94.67 | 95.77 | 95.82 | 96.01 | 96.57 | 97.60 | 97.73 | 98.14 | 98.54 |

$$\text{Antioxidant activity \%} = \frac{R_{\text{control}} - R_{\text{sample}}}{R_{\text{control}}} \times 100$$

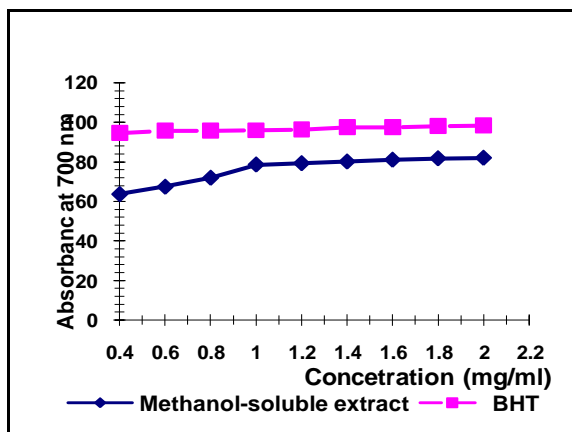


Fig.5. Antioxidant activity of essential oils of oyster mushroom

Reducing power

Table 3 and Figure 6 showed the comparison in the reducing power between the synthetic antioxidant BHT and the supercritical extracts of oyster mushroom. Results indicated that at concentration of 0.2 mg/ml the absorbance of BHT was 1.293 while that of oyster mushroom extract was 0.179, suggesting the ability of this extract to act as free radical as it reduce iron (III) and did so in a linear relationship.

Table 3. Reducing power of different extracts concentration

| Sample | Sample concentration (mg/ml) | | | | |
|--------------------------|------------------------------|-------|-------|-------|-------|
| | 0.2 | 0.4 | 0.6 | 0.8 | 1.0 |
| Methanol-soluble extract | 0.179 | 0.244 | 0.391 | 0.513 | 0.602 |
| BHT | 1.293 | 1.650 | 2.416 | 3.434 | 3.561 |

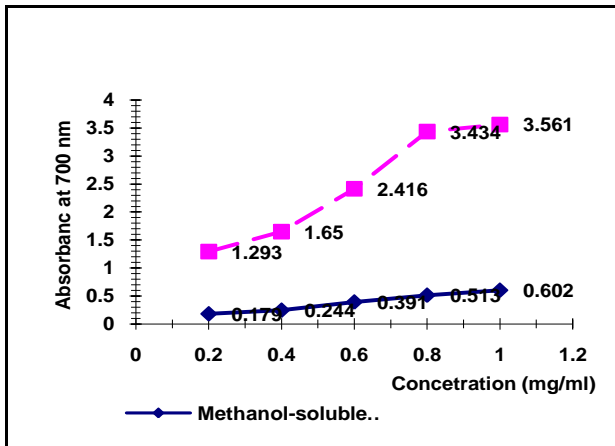


Fig.6. Reducing power of different extracts concentration

Total Phenol

Data obtained by total phenolic assay showed that total phenolic in mushroom extract was very high in methanol soluble extract being 30.248 $\mu\text{g GAE}_s/\text{mg}$ indicating the positive relationship between antioxidant activity and amount of phenolic compounds in the extracts.

Transmission Electron Microscope

The morphology of the nano encapsulated phenolic compounds nanoparticles (entrapped in alginate) was measured by using Transmission electron microscopy.

Figure (7a and b) shows the TEM of the encapsulated phenolic compounds nanoparticles which showed the core and the wall of the entrapped phenolic compounds with diameter range from 131 to 669 nm.

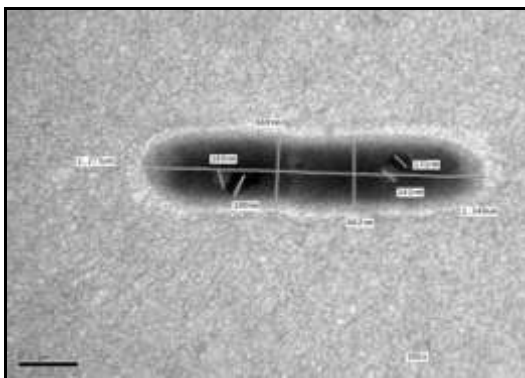


Fig.7a. TEM of nano encapsulated phenolic Compounds

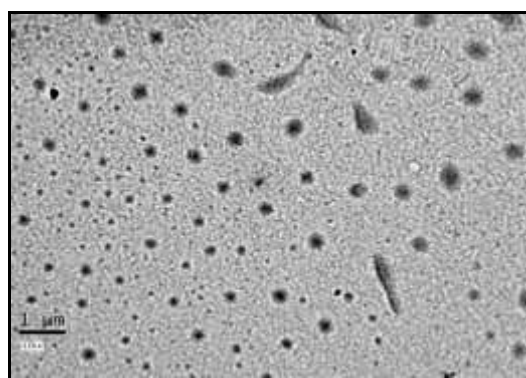


Fig.7b. TEM of nano encapsulated phenolic compounds

Antioxidant activity of nano phenolic by DPPH

The antioxidant activity of the nano phenolic compounds was determined after encapsulation (Table 4 and Figure 8). Results indicate that the microencapsulation process increased the ability of phenolic compounds to scavenge DPPH free radicals. Figure (8) revealed that the Ec_{50} of the encapsulated nano phenolic compounds was 80 $\mu\text{g}/\text{mg}$ lower, than 97 $\mu\text{g}/\text{mg}$ for nano extract, which indicates that the lower the Ec_{50} the greater the antioxidant activity²⁵.

Table 4. Antioxidant activity of nano phenolic compounds encapsulation by sodium alginate beads:

| Sample extract | DPPH (µg/mg) | Scavenging effect % |
|----------------|--------------|---------------------|
| Ethyl Acetate | 5.0 | 2.362 |
| | 10.0 | 4.116 |
| | 15.0 | 8.038 |
| | 20.0 | 11.238 |
| | 25.0 | 14.592 |
| | 30.0 | 15.380 |
| | 35.0 | 18.098 |
| | 40.0 | 21.674 |
| | 45.0 | 24.234 |
| | 50.0 | 26.462 |
| | 60.0 | 29.851 |
| | 70.0 | 38.033 |
| | 80.0 | 46.392 |
| | 90.0 | 51.191 |
| | 100.0 | 57.856 |

$$\text{Scavenging effect \%} = \frac{A_{515\text{nm}} \text{ of sample} - A_{515\text{nm}} \text{ of blank}}{A_{515\text{nm}} \text{ of blank}} \times 100$$

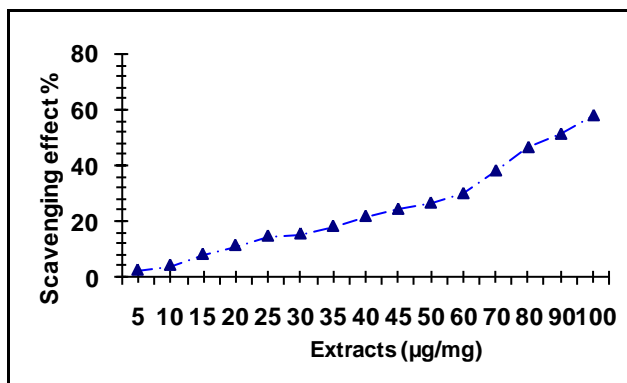


Fig.8. Scavenging effect % of various nano extract concentration (5–100 µg/ml) on DPPH after encapsulation by alginate beads.

Cell-Line

The effect of nano antioxidants on cell cycle was measured after treated for 48 and 96 hs (Table 5 and 6). Table 5 showed that MCF-7 cells treated with (3-5 µM) nano antioxidants for 48h resulted in G₀/G₁ arrest (by the accumulation of cells) aside with concomitant decrease in the G₂/M phase. While for DU145 cells, results showed minor changes in the profile distribution of cell cycle accompanied with an increase for cells in G₀/G₁ phase an decrease in G₂/M phase but only with the higher dose of nano antioxidant (5 µM).

Table 6 showed that the changes in cancer treated cells after 96h with nano antioxidant were significant as for MCF-7 cells, the same results were obtained after 95h like for 48h. While for DU145, there was an increase in cells in G₂/M phase, followed by a decrease in G₀/G₁ phase after treated with nano antioxidants (3 and 5 µM).

Table (5): Effect of NanoAntioxidants (1-5 μ M) on cell cycle progression in different human cancer cell lines after 48 hours

| Cell line | Cell Cycle Phases | CT | 1 μ g | 3 μ g | 5 μ g |
|-------------------|--------------------------------|-------|-----------|-----------|-----------|
| MCF-7 | G ₀ /G ₁ | 65.05 | 65.35 | 65.65 | 65.30 |
| S | | 20.00 | 20.50 | 18.25 | 20.35 |
| G ₂ /M | | 32.81 | 30.81 | 11.08 | 17.56 |
| DU145 | G ₀ /G ₁ | 59.30 | 58.65 | 76.53 | 76.35 |
| S | | 16.52 | 17.68 | 18.47 | 20.87 |
| G ₂ /M | | 48.33 | 47.15 | 66.75 | 63.82 |

Table (6): Effect of NanoAntioxidants (1-5 μ M) on cell cycle progression in different human cancer cell lines after 96 hours

| Cell line | Cell Cycle Phases | CT | 1 μ g | 3 μ g | 5 μ g |
|-----------|-------------------|-------|-----------|-----------|-----------|
| MCF-7 | GO/G1 | 46.05 | 59.30 | 54.30 | 53.10 |
| S | | 20.10 | 24.90 | 22.80 | 25.20 |
| G2/M | | 69.45 | 70.85 | 63.60 | 59.07 |
| DU145 | GO/G1 | 46.89 | 56.28 | 42.27 | 36.27 |
| S | | 15.19 | 19.36 | 11.02 | 11.57 |
| G2/M | | 35.92 | 42.78 | 73.13 | 73.32 |

Apoptosis

Results in Table 6 showed an increase in apoptosis (which can be a useful indication of cancer cell kinetics) in the two cell lines (MCF-7 and DU145) after treated with nano antioxidant (3 μ M) for 96 h. While the cancer cell line (DU145) showed an increase number of cells and apoptosis G₂/M phase by 3.5 and 4 fold, respectively for the same treatment. Nano antioxidants increased also by 2-fold apoptosis in MCF-7.

So, our results proved that there was a significant reduction in the viable cells number in the two cancer cells line after 48h treatment with nano antioxidant with the promotion of cell cycle arrest followed by decreased cell viability in the majority of cell lines after 96h accompanied with an increase in the apoptosis. These results are in agreement with the results obtained in lycopene²⁶.

Conclusions

The rising need to extract either functional compounds or nutraceuticals from natural sources continuea for economically and ecologically feasible extraction technologies.

Using larger amount of solvent in the traditional extraction methods increase the operating costs and cause additional environmental problems. Therefore, compressed fluids have become an interesting alternative to obtain antioxidants from different natural sources.

Phenolic compounds extracted from oyster mushroom after treated with ultrasonication gave nanoparticles with high antioxidant activity. These treatment also improved the capacity of nano antioxidants to inhibit cell proliferation, arrest cell cycle in different phases and increase apoptosis in breast and prostate lines after 96h.

Microencapsulation process increased the ability of phenolic compounds to scavenge DPPH free radicals.

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